

10-16-01

A

PATENT
674515-2003
Attorney Docket No.

Patent Application Transmittal
(only for new nonprovisional applications
under 37 C.F.R. 1.53(b))
Correspondence Address:
FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800 Fax: (212) 588-0500
Customer No. 20999

JC986 U.S. PTO
09/977880
10/15/01

Date: October 15, 2001

TO: ASSISTANT COMMISSIONER FOR PATENTS
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application
for patent in the names of:

Barry C. HOLDSTOCK and Trevor O. GLASEY

Entitled: **PROCESS FOR THE PREPARATION OF A DIOL**

— This is an application of a small entity under 37 CFR 1.9(f) and the amounts
shown in parentheses below have been employed in calculating the fee.

— Undersigned attorney hereby states that this is an application of a Small Entity.

The following are enclosed:

 X Specification (23 Pages)

 X 2 Sheet(s) of Drawings

 X 22 Claims (including 2 independent claims)

— This application contains multiple dependent claim(s)

 X PCT/RO/101, PCT/ISA/220, 210, PCT/IPEA/401, 408, 409, 416

— Petition To Make Special

— Preliminary Amendment To Comply With Requirements For Patent Applications
Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures

 X Our checks totaling \$816.00, calculated on the basis of the claims as amended by
any enclosed preliminary amendment as follows:

00030072

JC853 U.S. PTO
10/15/01

09977880-101501

Basic Fee
\$740.00 (370.00)740.00

Total Number of Claims in excess
of 20 at \$18.00 (9.00) each 36.00

Number of Independent Claims in
excess of 3 at \$84.00 (42.00) each000.00

Multiple Dependent Claim Fee
at \$280.00 (140.00)000.00

✓ Total Filing Fee776.00

✓ Assignment Recording Fee \$40.00040.00

Petition to Make Special Fee \$130.00000.00

 X Oath or Declaration and Power of Attorney

 New X signed unsigned
 Copy from prior application (37 C.F.R. 1.63(d))

 X Certified copy of the following application to substantiate the claim for priority
made in the Declaration to follow:

PCT/GB00/00780 filed 3 March 2000

GB9908808 filed April 16, 1999

Please charge any additional fees required for the filing of this application or credit any
overpayment to Deposit Account No. 50-0320. Please accord this application a May 8, 2001
filing date.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP

By: *Thomas J. Kowalski*
Thomas J. Kowalski
Reg. No. 32,147
(212) 588-0800

EXPRESS MAIL

Mailing Label Number: EL861104893US

Date of Deposit: October 15, 2001

I hereby certify that this paper or fee is being deposited with the
United States Postal Service "Express Mail Post Office to
Addressee" Service under 37 CFR 1.10 on the date indicated
above and is addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231.

Edward Nay
(Typed or printed name of person mailing paper or fee)

Edward Nay
(Signature of person mailing paper or fee)

Full name of 1st co-inventor: Barry Charles Holdstock
Residence: Flat 12, Kingston Court, 82 Maple Road
Surrey KT6 4AL, Great Britain

Post Office: _____
Citizenship: British

Full name of 2nd co-inventor: Trevor Owen Glasey
Residence: 27 Kingston Road, Camberley,
Surrey GU14 AG, Great Britain

Post Office: _____
Citizenship: British

00030072